

UBL FUND MANAGERS LIMITED

SEQUENTIAL INFORMATION

As per S.R.O. 1196 (I)/2019

Date: 29 September 2026

1. PROFILE OF THE COMPANY

1.1 Company Overview

Company Name: UBL Fund Managers Limited

Status of Company: Public Interest Company (Category)

Company Registration Number: K-08280

Date of Registration: 3 April 2001

National Tax Number (NTN): 1456150-6

Licenses

Number of Licenses: 03

Issuing Authority: Securities and Exchange Commission of Pakistan (SECP)

License No.	Issuing Date
SECP/LRD/LD/30/AMCW/UBL/2025	15 June 2025
SECP/LRD/30/AMCW/UBLFML/2023-25	15 July 2025
SECP/PW/Reg-05/UBL	29 July 2009

1.2 Vision

Our Vision:

To be the most trusted asset manager for creating long-term value.

1.3 Mission

Our Mission:

To deliver lasting value by growing investors' wealth through diligent investment management, innovative solutions and a customer-first commitment while maintaining the highest ethical standards.

2. REGISTERED / HEAD OFFICE

UBL Fund Managers Limited

4th Floor, Syedna Taher Saifuddin Memorial Building
Beaumont Road, Civil Lines
Karachi, Pakistan

Toll-Free Helpline: 0800-00026 (from anywhere in Pakistan)

International Caller Helpline: +92-21-38892219

Email: customercare@ublfunds.com

Website: www.ublfunds.com.pk

3. BRANCH / OFFICE NETWORK

3.1 Karachi – DHA

Address:

Shop # 8-C, First Floor, Khayaban-E-Shahbaz, Phase VI, D.H.A., Karachi

Phone:

021-35854126

021-35349059

3.2 Karachi – North Nazimabad

Address:

D7/1, Block F, North Nazimabad, Near Kifayah Pharmacy and Super Market, Karachi

Phone:

021-38291600

021-38291601

021-38291602

021-38291603

3.3 Karachi – PECHS

Address:

Office # 509, 5th Floor, Parsa Tower, PECHS Block 6, Main Shahrah-e-Faisal, Karachi

Phone:

021-38659003

3.4 Lahore

Address:

Plot No. 3, R 4th Floor, Almas Tower, M.M. Alam Road, Gulberg-2, Lahore

Phone:

042-111-825-262

042-35792141-48

3.5 Islamabad – Blue Area

Address:

2nd Floor, UBL Building, Jinnah Avenue, Blue Area, Islamabad

Phone:

051-111-825-262

3.6 Islamabad – F-7

Address:

Ground Floor, Plot No. 13-K, Markaz F-7, Islamabad

Phone:

051-111-825-262

3.7 Multan

Address:

Office # F-12, 1st Floor, Chen One Tower, 74 Abdali Road, Multan

Phone:

061-4543811

061-4542811

061-4588053

3.8 Hyderabad

Address:

UBL Civic Center, DM Office (1574), Thandi Sarak, Hyderabad

Phone:

022-2728333

3.9 Faisalabad

Address:

UBL Bank Square, Shop # 10, Circular Road, Faisalabad

Phone:

041-9200913

3.10 Peshawar

Address:

Main Saddar Road, Opposite Cant Mall Plaza, Adjacent Green Heritage Hotel, Ground Floor, Peshawar

Phone:

0800-26336

4. INFORMATION & SUBSIDIARY DETAILS

4.1 Company Information

Website: www.ublfunds.com.pk

Website: <https://www.alameenfunds.com/>

Company Email: info@ublfunds.com

Customer Services Email: customercare@ublfunds.com

4.2 Subsidiary

Name: UBL Financial Services (Pvt) Limited.

Phone: +92 21 111 825 375

Website: <https://ufsl.com.pk/>

5. GOVERNANCE

5.1 Board of Directors



Mr. Muhammad Jafar Khan, CFA
Independent Director – Chair person

Mr. Muhammad Jafar Khan has over 25 years of experience investing, managing and advising on client assets across Saudi Arabia, the UAE, Bahrain and Pakistan. He currently serves as an Executive Director at Al-Hilal Securities Advisors, where he advises clients on asset allocation, private credit and equities. Previously, he served as Chief Investment Officer of the Asset Management Department at GIB Capital in Saudi Arabia, where he managed equity, fixed-income and money-market strategies for institutional and high-net-worth clients. Prior to GIB Capital, he spent more than seven years at the Abu Dhabi Investment Authority (ADIA) as Deputy Head of MENA & GCC Equities. Earlier in his career, he held senior positions at:

- HSBC Saudi Arabia
- Morgan Stanley Saudi Arabia
- MCB Asset Management

He is a CFA charterholder and holds an MBA in Finance and a BBA (Hons) from the Institute of Business Administration (IBA), Karachi. He has also served as Director and Treasurer of CFA Arabia and CFA Association Pakistan and is a visiting faculty member at KSBL and IBA Karachi.



Mr. Asif Ali Qureshi, CFA
Chief Executive Officer & Director

Mr. Asif Ali Qureshi has over 25 years of experience in the financial sector, spanning banking, economic and financial research, investment banking, consulting, teaching, training and entrepreneurship. He co-founded two stock brokerage companies and an online financial database portal. His key areas of analytical focus include the economy, financial services and energy. He also served as a member of the Prime Minister's

Economic Advisory Council in 2021. He has consulted for the Asian Development Bank (ADB), World Bank (WB), and Foreign, Commonwealth & Development Office (FCDO). He is a member of the Capital Market Advisory Council of the Ministry of Finance and various committees established by the Securities and Exchange Commission of Pakistan (SECP) and Ministry of Finance (MOF).



Mr. Farrukh Karim Khan
Director

Mr. Farrukh Karim Khan is a seasoned finance professional with close to two decades of experience in investment management, economic strategy and financial advisory. He holds a Bachelor of Science degree from LUMS and is a CFA charter holder. He currently serves as Chief Strategy Officer and Chief Economist at UBL. He has previously held leadership positions including:

- CEO, True North Advisors
- Chief Investment Officer, Providus Capital
- Head of Equities, Next Capital

He has also worked with key financial institutions including KASB Securities, JS Investments and Credit Suisse Singapore. He serves as a Director on the boards of TrueNorth Advisors, Kaabil Technology and MindStir.



Mr. Alee Khalid Ghaznavi
Director

Mr. Alee Khalid Ghaznavi has approximately 23 years of diversified experience in the financial services industry. He has previously been associated with:

- Habib Bank AG Zurich
- National Bank of Pakistan
- Allied Bank
- ABL Asset Management

He previously served as Chief Executive Officer of ABL Asset Management, where he spearheaded both conventional and Islamic fund management. He currently serves as Head of Islamic Banking at United Bank Limited (UBL).



Ms. Nadia Tabbasum
Director

Ms. Nadia Tabbasum is a corporate banking professional with over 25 years of leadership experience across leading commercial banks in Pakistan. She currently serves as Group Executive — Corporate & Investment Banking Group at United Bank Limited (UBL). During her career, she has been associated with:

- Bank Alfalah
- Allied Bank Limited
- MCB Bank

Her expertise covers Corporate Banking, Investment Banking, Financial Institutions, International Banking, Transaction Banking and Home Remittances. She holds a Master of Business Administration (MBA) from the Institute of Business Administration (IBA), Karachi.



Mr. Akif Saeed
Independent Director

Mr. Akif Saeed is a financial market specialist with a track record of work relating to policy, structural, legislative and operational reforms. His key areas of focus include:

- Digital transformation
- Promoting innovation and startups
- Legal reforms
- Market development

He has over 35 years of experience spanning non-banking financial markets, commercial banking and industry, with particular experience in originating and closing deals and

implementing policy and operational reforms. His areas of specialization include capital markets, mutual funds, private pension funds, real estate investment trusts, investment banking, Modarabas, corporate law and insurance. He currently serves as Chief Executive of Sage Consultants & Advisors (Private) Limited. He previously served as Chairperson of the Securities and Exchange Commission of Pakistan (SECP). His professional record includes involvement in a range of initiatives, including:

- Digitalization of company incorporation
- Regulatory Sandbox initiatives
- Frameworks for online-only brokers
- Shariah-compliant brokers
- Agri-only commodity futures brokers
- Digital lending NBFCs
- Listing of Government Debt Securities at PSX
- Transition from T+2 to T+1 settlement cycle at PSX
- Integration of three stock exchanges
- Divestment of PSX shares to strategic investors
- Creation of a central counterparty at the clearing company
- Reclassification of PSX to the MSCI Emerging Market Index
- Securities Act 2015
- Futures Market Act 2016
- Investor education program — JamaPunji
- Collateral management framework
- Automation of the Capital Gains Tax regime for capital markets

Mr. Akif Saeed holds an MBA from the University of Edinburgh and a Master's degree in Economics from Government College University, Lahore. He has also worked as a consultant with the Asian Development Bank (ADB), as well as in the banking sector with American Express Bank and in industry with Packages Limited.



Mr. Ali Imran
Director

Mr. Ali Imran is a senior technology, cybersecurity and risk management professional with over 21 years of experience across the banking, government and telecommunications sectors. He currently serves as Chief Information Security Officer (CISO) at United Bank Limited (UBL), providing strategic leadership in cybersecurity governance, enterprise risk management and cyber resilience, and advising senior management and the Board on technology and cybersecurity risks. Previously, he served as Head of Information Security / CISO at BankIslami Pakistan Limited and held senior cybersecurity and digital forensics positions at NADRA and the National Response Centre for Cyber Crimes (FIA). He holds an MSc in Information Security with Distinction from Royal Holloway, University of London.

His professional certifications include:

- CISSP
- CISM
- CISA
- CRISC
- CGEIT

6. SHAREHOLDING STRUCTURE

As of 30 June 2026

Category of Shareholders	Shares Held	Percentage
Directors and Chief Executive Officer	2	0.00%
Associated Companies, Undertakings and Related Parties	32,870,998	98.87%
Individuals	376,779	1.13%
Grand Total	33,247,779	100.00%

7. AUDITORS & LEGAL ADVISOR

7.1 Auditors

The document lists the following audit firms:

- Yousuf Adil, Chartered Accountants
- F. Ferguson & Co., Chartered Accountants
- EY Ford Rhodes, Chartered Accountants
- BDO Ebrahim & Co., Chartered Accountants
- Riaz Ahmad, Saqib, Gohar & Co., Chartered Accountants

7.2 Legal Advisor

- Akhund Forbes, Advocates and Corporate Counsellor

8. INVESTOR RELATIONS

S. No.	Particular	Link / Information
1	Online form/contact details for investor complaints and grievances	https://www.ublfunds.com.pk/get-in-touch/feedback-complaints/
2	SECP Service Desk Management System (SDMS) information	https://www.ublfunds.com.pk/get-in-touch/feedback-complaints/
3	Notices of general meetings, dividends / bonus declarations and right issues	https://www.ublfunds.com.pk/announcements/
4	Website	www.ublfunds.com.pk

Investor Complaints

Investors may use the company's designated complaint and feedback channel for complaints and grievances.

The document also provides for the SECP Service Desk Management System (SDMS), with the stated condition that complaints should first be submitted to the company and that SECP will entertain complaints falling within its regulatory domain.

9. MEDIA

S. No.	Particular	Information / Link
1	National / International Awards and Recognition	https://www.ublfunds.com.pk/who-we-are/get-to-know-us/
2	Member of Industry Association	Mutual Funds Association of Pakistan (MUFAP)
3	Other announcements or clarifications issued by the company	https://www.ublfunds.com.pk/announcements/

10. INFORMATION REQUIRED FOR VISITORS

10.1 Complaint Handling Cell

Complaint Handling Cell

Calls:

0800-00026

0800-26336

International Dialers:

+92-21-38892218-9

Email:

customercare@ublfunds.com

11. OTHER INFORMATION

11.1 Investor Information

1. Annual Reports

Annual reports for the last three financial years are available through the company's financial statements section.

<https://www.ublfunds.com.pk/download/financial-statements/>

2. Quarterly Financial Statements

Quarterly financial statements issued during the current year and the preceding three years are available through the company's financial statements section.

<https://www.ublfunds.com.pk/download/financial-statements/>

3. Placement of Annual Financial Statements

The document states that annual reports, including financial statements and mandatory reports, are to be made available in accordance with the applicable requirements, including availability prior to the Annual General Meeting.

<https://www.ublfunds.com.pk/download/financial-statements/>

4. Placement of Quarterly Financial Statements

Quarterly financial statements and reports are to be placed on the company's website within the applicable prescribed period following approval by the Board.

<https://www.ublfunds.com.pk/download/financial-statements/>

5. Electronic Availability

Financial statements, reports and other information are to be made available in an internationally acceptable, user-friendly, accessible and downloadable electronic format.

<https://www.ublfunds.com.pk/download/financial-statements/>

6. Group Company

Financial statements, reports and other information are to be made available in an internationally acceptable, user-friendly, accessible and downloadable electronic format.

https://ufsl.com.pk/investor_information.html#financials

12. COMPANY WEBSITE

Official Website:

www.ublfunds.com.pk

The website contains, among other information:

- Company information
- Financial statements
- Annual reports
- Quarterly financial statements
- Investor information
- Announcements
- Complaints and feedback
- Contact information

13. RATING

VIS Rating: AM1

The document states that UBL Fund Managers Limited is rated “AM1” by VIS.

14. CONSOLIDATED CONTACT INFORMATION

Particular	Details
Company	UBL Fund Managers Limited
Head Office	4th Floor, Syedna Taher Saifuddin Memorial Building, Beaumont Road, Civil Lines, Karachi, Pakistan
Toll-Free Helpline	0800-00026
International Helpline	+92-21-38892219

Particular	Details
Complaint Helpline	0800-00026 / 0800-26336
Customer Services Email	customercare@ublfunds.com
General Email	info@ublfunds.com
Website	www.ublfunds.com.pk ; www.alameenfunds.com
Subsidiary	UBL Financial Services (Pvt.) Ltd.
Subsidiary Website	https://ufsl.com.pk/